

## Message Text

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ACTION EUR-12

INFO OCT-01 ISO-00 CIAE-00 PM-04 INR-07 L-03 ACDA-05

NSAE-00 PA-01 SS-15 PRS-01 SP-02 USIA-06 TRSE-00

SAJ-01 NSC-05 /063 W

----- 121675

R 251550Z SEP 75

FM USMISSION NATO

TO SECSTATE WASHDC 3739

SECDEF WASHDC

INFO CINCLANT

USCINCEUR

USLOSACLANT

USNMR SHAPE

C O N F I D E N T I A L SECTION 1 OF 2 USNATO 5243

E.O. 11652: GDS

TAGS: MPOL, NATO

SUBJECT: FRAME OF REFERENCE FOR NATO FORCE PLANNING DATA BASE

REF: USNATO 5193 DTG 231709Z SEP 75

WE HAVE RECEIVED DRC/N(75)21 WHICH CONTAINS THE LATEST DRAFT OF THE FORCE PLANNING DATA BASE AS FOLLOWS. THE FOR IS ON THE AGENDA OF THE DRC MEETING TO BE HELD 30 SEPTEMBER 1975. ACTION REQUESTED: WASHINGTON AUTHORIZATION TO APPROVE AT 30 SEP DRC REVISED FOR, FOR REASONS DISCUSSED IN REFTEL. BEGIN TEXT:

I. OBJECT: THIS DOCUMENT DEFINES THE AIM AND THE GENERAL OPERATING METHODS OF THE NATO FORCE PLANNING DATA BASE (NFPDB) AND FORMALISES ITS ROLE AS THE ALLIANCE' CENTRAL INFORMATION SOURCE FOR NATO AND WARSAW PACT FORCES.

II. SCOPE:

THE NFPDB CONSTITUTES NATO'S CENTRAL RESERVIOR  
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OF ESSENTIAL INFORMATION ON WARSAW PACT AND NATO FORCES. THE DATA STORED IN THE BASE BOTH REFLECT THE CURRENT STATUS OF FORCE STRENGTHS AND PROVIDE INFORMATION ON NATIONS' PLANNING OBJECTIVES. THE

NFPDB SYSTEM SHOULD BE CAPABLE OF RESOUNDING, AT ESTABLISHED LEVELS OF ORGANISATION, TO RELEVANT INFORMATION REQUIREMENTS OF NATO AND NATIONAL AUTHORITIES. THROUGH A BROAD-BASED NETWORK OF ACTION AND LIAISON OFFICERS IN NATIONAL CAPITALS AND NATO ORGANISATIONS, LINKING THE BASE TO DATA PROVIDERS AND DATA USERS, THE SYSTEM IS GEARED TO CENTRAL CONTROL OF INFORMATION FLOW, AFFORDING MAXIMUM RESPONSIVENESS, SECURITY AND INTEGRITY OF DATA AND ELIMINATING DUPLICATION OF REPORTING, HANDLING AND STORAGE OF DATA.

### III. CONTENT:

THE NFPDB CONTAINS INFORMATION ON ALL ARMED FORCES OF THE NATIONS OF NATO AND THE WARSAW PACT. THE PRINCIPAL ELEMENTS OF THE BASE ARE THE INDIVIDUAL ARMED FORCES UNITS (ELEMENTARY UNITS). INCLUDED IN THE SYSTEM IS UNIT SUBORDINATION DATA TO BE USED TO DERIVE FORMATION HIERARCHICAL STRENGTHS TO IDENTIFY ORGANISATIONAL RELATIONSHIPS BETWEEN UNITS.

FOR EACH ELEMENTARY UNIT, THE DATA BASE HOLDS DETAILED INFORMATION ON PERSONNEL AND EQUIPMENT (DEFINED AND CATEGORISED AS APPROPRIATE); UNIT ORGANISATIONAL RELATIONSHIPS WITH OTHER UNITS; WEAPON PERFORMANCE AND EFFECTIVENESS PARAMETERS, AND SUCH OTHER ELEMENTS OF INFORMATION AS ARE NECESSARY FOR FORCE EVALUATION COMPARISON AND FOR PLANNING PURPOSES.

### IV. MANAGEMENT:

THE NFPDB IS MAINTAINED AND OPERATED BY THE NATO INTERNATIONAL STAFF. OPERATIONAL RESPONSIBILITY FOR THE OVERALL MANAGEMENT OF THE BASE RESTS WITH HEAD, SYSTEMS ANALYSIS SECTION, WHO IS ANSWERABLE  
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TO THE DEFENCE REVIEW COMMITTEE, IN MATTERS SUCH AS:

-FUNCTIONAL REQUIREMENTS, PLANNING, DEVELOPMENT, DESIGN AND OPERATIONAL PERFORMANCE OF THE NFPDB SYSTEM;

-TECHNICAL SUPPORT TO THE PROGRAMMES AND ALLOCATION OF AVAILABLE MANPOWER AND EXPERTISE AND OPTIMAL EXPLOITATION OF THE POWER AND ECONOMY OF ADP FACILITIES;

-PROCEDURES FOR COLLECTION, COLLATION,

PROCESSING OF DATA AND THE TRANSMISSION AND  
DISSEMINATION OF INFORMATION;

-STANDARDS FOR CODES, DATA ELEMENTS, REPORTING  
FORMATS, PRESENTATION, DOCUMENTATION AND  
INTERPRETATION OF OUTPUTS;  
-GUIDELINES ON THE USE AND RELEASE OF  
INFORMATION AND SECURITY REGULATIONS.

THE GROUP ON FORCE DATA MANAGEMENT AND ANALYSIS (FDMA)  
WILL ASSIST THE HEAD, SYSTEMS ANALYSIS SECTION, IN A  
DATA MANAGEMENT ADVISORY CAPACITY IN ACCORDANCE WITH  
DRC (FDMA)N(75)1.

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SAJ-01 NSC-05 /063 W  
----- 121830

R 251550Z SEP 75  
FM USMISSION NATO  
TO SECSTATE WASHDC 3740  
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INFO CINCLANT  
USCINCEUR  
USLOSACLANT  
USNMR SHAPE

C O N F I D E N T I A L SECTION 2 OF 2 USNATO 5243

V. USE:

THE NFPDB IS, OWING TO ITS UNIQUE AND CENTRAL  
FEATURES, THE DOMINANT STORE OF FORCE DATA TO BE  
USED BY NATO HEADQUARTERS AND NATO MILITARY  
AUTHORITIES AND IS ALSO AVAILABLE TO NATIONAL  
AUTHORITIES FOR THEIR PLANNING, CONSULTATION AND  
DECISION-MAKING ACTIVITIES OF NATO CONCERN.  
FROM DETAILED FORCE FIGURES, STORED IN THE  
NFPDB, AGGREGATE TOTALS REQUIRED FOR VARIOUS  
STATISTICAL TABLES CAN READILY BE COMPILED,  
AT DIFFERENT LEVELS AND IN VARYING FORMATS.  
THE APPLICATION OF COMPUTER PROGRAMMES  
PERMITS RAPID RETRIEVAL OF INFORMATION SUCH  
THAT SEVERAL CUSTOMERS CAN BE SERVED IN  
PARALLEL. CAREFUL CATEGORISATION OF UNITS

BY TYPE, ROLE, ETC. PERMITS PRECISE  
DEFINITION AND IDENTIFICATION OF FORCE TYPES  
TO BE INCLUDED IN REQUIRED COUNTS. THIS  
FACILITY, TOGETHER WITH THE CENTRAL CHARACTER  
OF THE BASE, ENSURES THAT STATISTICAL STUDIES  
CARRIED OUT FOR DIFFERENT PURPOSES AND BY  
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DIFFERENT USERS ARE COMPATIBLE AND COMPARABLE.  
THE LOGICAL STRUCTURE OF THE FILES PERMITS  
EFFICIENT APPLICATION OF SPECIFIC MATHEMATICAL  
MODELS AND TECHNIQUES TO CARRY OUT BOTH  
SIMPLE STATIC AND ADVANCED DYNAMIC ANALYSIES  
IN SUPPORT OF FORCE CAPABILITIES STUDIES.

VI. CONFIDENTIALITY:

THE SECURITY CLASSIFICATION OF THE DATA  
CONTAINED IN THE NFPDB IS DETERMINED BY THE  
ORIGINATING NATION OR AGENCY, AND A DISCIPLINE  
FOR THE STRICT ADHERENCE TO THE CLASSIFICATIONS  
SO DETERMINED IS OBSERVED, IN COMPLIANCE WITH  
NATO SECURITY REGULATIONS. IN ADDITION, THE  
RELEASE OF INFORMATION, FOR WHATEVER PURPOSES,  
PERTAINING TO ANY NATO NATION'S FORCES TO ANY  
PERSON OR PERSONS OTHER THAN THOSE OFFICIALLY  
RESPONSIBLE FOR THE MAINTENANCE OF THE NFPDB,  
MUST BE SPECIFICALLY AUTHORISED BY THAT NATION  
THROUGH ITS REPRESENTATIVE ON THE DRC. THE DEC  
RETAINS COMPLETE AND FINAL AUTHORITY ON ALL  
MATTERS OF POLICY CONCERNING THE NFPDB, AND IN  
PARTICULAR ON ALL QUESTIONS INVOLVING THE USE  
OR RELEASE OF AGGREGATE LISTINGS OUT OF THE  
DATA BASE FILES. ALL INFORMATION CONTAINED  
IN THE NFPDB IS PROTECTED TO THE FULLEST EXTENT.  
IN PARTICULAR, NATO AGREED SECURITY  
REGULATIONS GOVERNING ADP OPERATIONS AND THE  
HANDLING OF CLASSIFIED MATERIAL ARE STRICTLY  
ADHERED TO. ACCESS TO THE DATA BASE FILES IS  
GRANTED ONLY TO THOSE INTERNATIONAL STAFF  
MEMBERS WHO ARE INVOLVED IN THE MAINTENANCE  
OPERATIONS OF THE BASE.

ALL REQUESTS FOR RELEASE OF INFORMATION HELD IN  
THE NFPDB OR FOR ALTERATIONS IN THE STRUCTURE OF  
THE DATA BASE, OR IN THE INFORMATION CATEGORIES  
STORED, WILL, IN THE FIRST INSTANCE, BE  
SUBMITTED TO HEAD, SAS, WHO WILL EXAMINE THEM  
FOR TECHNICAL FEASIBILITY AND SUITABILITY AND  
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WILL ADVISE THE REQUESTOR OF HIS INTENTIONS:  
APPROVAL FOR THERELEASE OF INFORMATION WILL  
NORMALLY BE SOUGHT FROM THE ORIGINATOR(S) OF  
THE DATA REQUESTED. GUIDANCE AND APPROVAL BY  
THE DRC WILL BE REQUESTED IN MATTERS INVOLVING  
POLIICY DECISIONS AND IN CASES WHEN INFORMATION  
CONCERNING SEVERAL OR ALL DRC NATIONS IS SOUGHT  
FOR RELEASE. IN ALL SUCH REQUESTS FOR USE, TYPE  
AND LEVEL OF DETAIL, AND DISTRIBUTION OF THE  
INFORMATION REQUIRED MUST BE STATED CLEARLY.  
INFORMATION WOULD ONLY BE PROVIDED TO THE EXTENT  
APPROVED BY THE DRC AND/OR ORIGINATOR(S) OF THE  
DATA.  
END TEXT  
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<< END OF DOCUMENT >>

## Message Attributes

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**Current Classification:** UNCLASSIFIED  
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**Status:** NATIVE  
**Subject:** FRAME OF REFERENCE FOR NATO FORCE PLANNING DATA BASE  
**TAGS:** MPOL, NATO  
**To:** STATE  
SECDEF INFO CINCLANT  
USCINCEUR  
USLOSACLANT  
USNMR SHAPE  
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